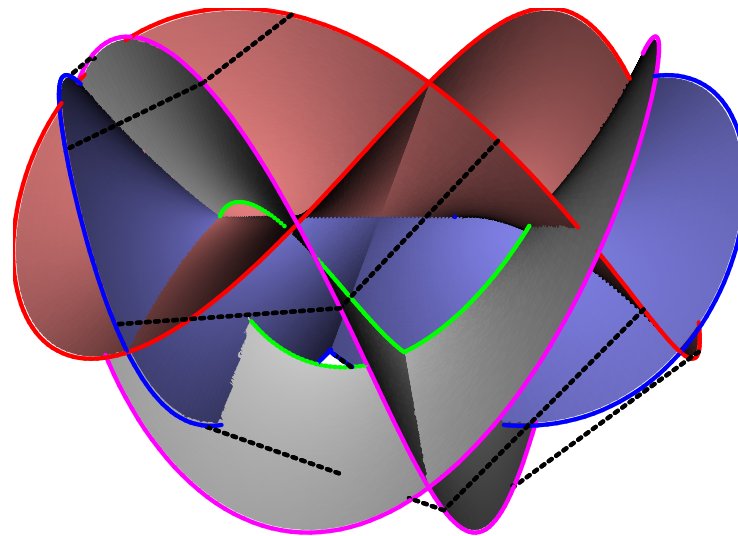


# Rational Two-Parameter Families of Spheres and Rational Offset Surfaces

Martin Peternell

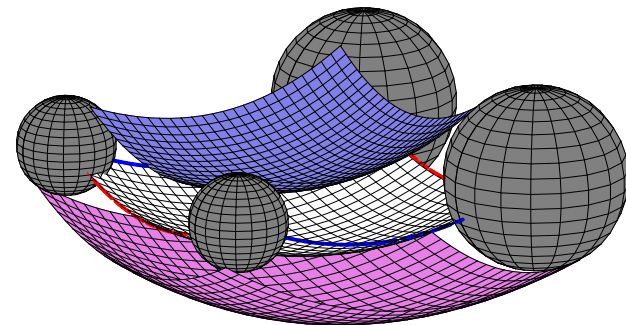
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## Problem description

- Let  $S(u, v)$  be a rational two-parameter family of spheres in  $\mathbb{R}^3$ .
- Conditions for rational envelope  $\Phi$  of  $S(u, v)$ .
- Geometric characterization.
- Relation to rational offset surfaces.
- Explicit parameterizations.
- Known and new examples.

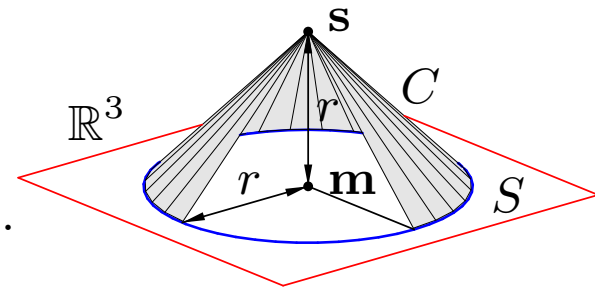


## $\mathbb{R}^4$ as model of the space of spheres

- Cyclographic mapping: Points  $\mathbf{s}$  in  $\mathbb{R}^4$   
 $\rightarrow$  oriented spheres  $S$  in  $\mathbb{R}^3$

$$\gamma : \mathbb{R}^4 \rightarrow \mathcal{S},$$

$$\mathbf{s} = (\mathbf{m}, r) \mapsto \gamma(\mathbf{s}) = S : (\mathbf{x} - \mathbf{m})^2 = r^2.$$



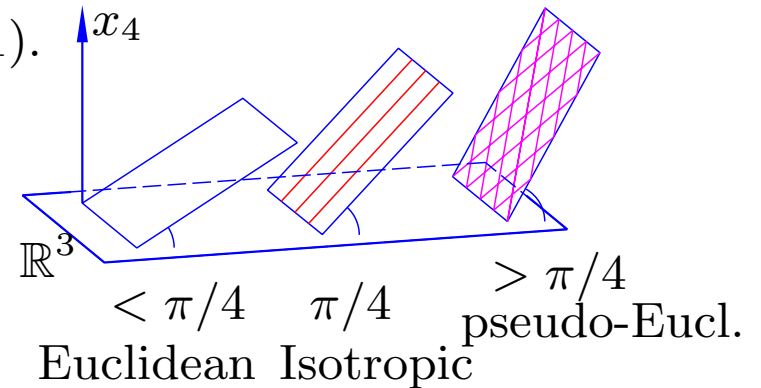
- Minkowski-space  $\mathbb{R}^4$ :

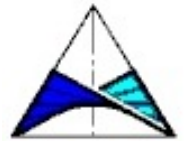
$$\langle \mathbf{x}, \mathbf{x} \rangle := \mathbf{x}^T D \mathbf{x}, \text{ with } D = \text{diag}(1, 1, 1, -1).$$

- Light cone  $C$  with vertex  $\mathbf{s}$ :

$$C : \langle \mathbf{x} - \mathbf{s}, \mathbf{x} - \mathbf{s} \rangle = 0.$$

- $C \cap \mathbb{R}^3$  is the non-oriented sphere  $S$ .



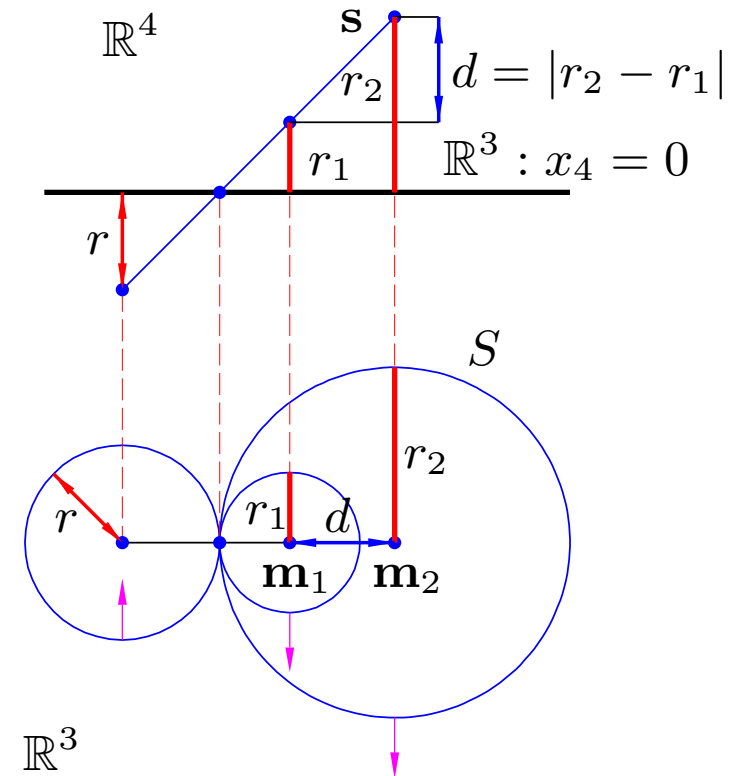


## Touching spheres

**Corollary 1** *The oriented spheres  $S_1$  and  $S_2$  are in **oriented contact**,*

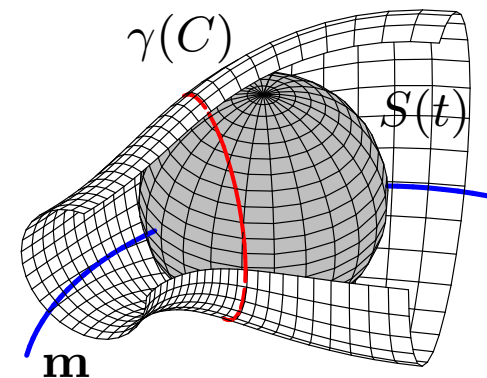
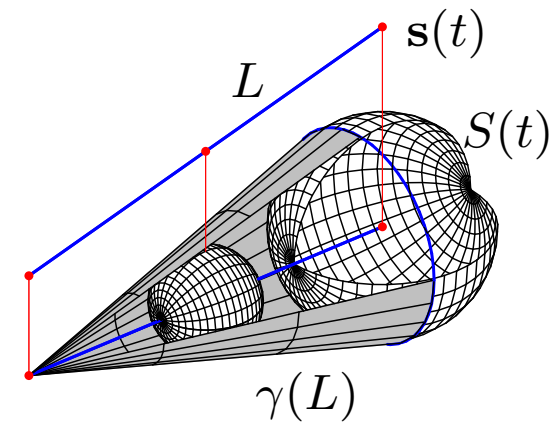
$$\iff \langle \mathbf{s}_2 - \mathbf{s}_1, \mathbf{s}_2 - \mathbf{s}_1 \rangle = 0.$$

- $\mathbf{s}_2 - \mathbf{s}_1$  ... isotropic vector
- Sphere  $X$  touches sphere  $S \implies X \in \text{light cone } C : \langle \mathbf{x} - \mathbf{s}, \mathbf{x} - \mathbf{s} \rangle = 0.$



## One-parameter families of spheres

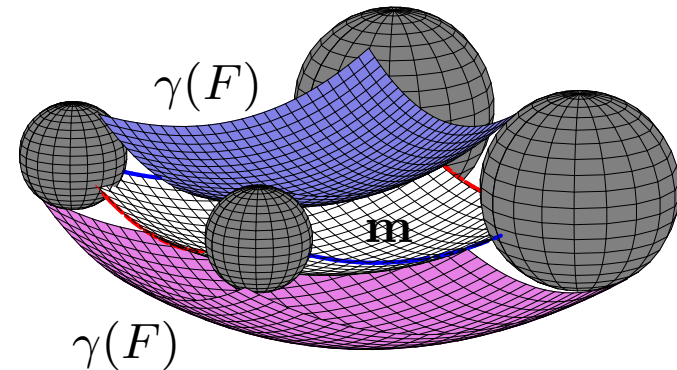
- A curve  $C : \mathbf{c}(t)$  in  $\mathbb{R}^4$  corresponds to a one-parameter family of spheres.
- Real envelope  $\gamma(C) \iff \langle \dot{\mathbf{c}}, \dot{\mathbf{c}} \rangle \geq 0$ .
- Line  $L \mapsto \gamma(L)$  is a cone of revolution.
- Curve  $C \mapsto \gamma(C)$  is a canal surface.



## Two-parameter families of spheres

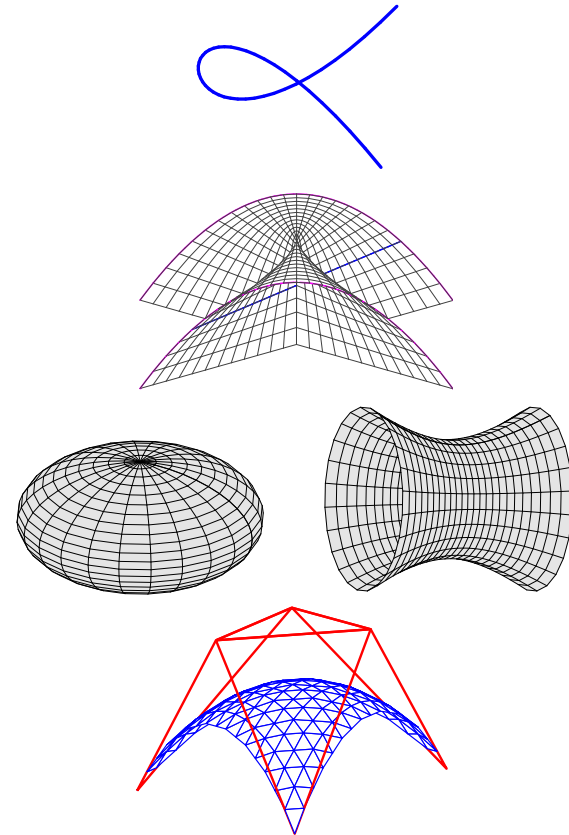
A surface  $F : \mathbf{f}(u, v)$  in  $\mathbb{R}^4$  corresponds to a two-par. family of spheres.

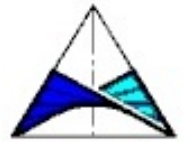
- The envelope  $\gamma(F)$  is real  $\iff$   
 $\langle \lambda \mathbf{f}_u + \mu \mathbf{f}_v, \lambda \mathbf{f}_u + \mu \mathbf{f}_v \rangle \geq 0$ , for all  $\lambda, \mu$ .
- The envelope  $\gamma(F)$  consists of two sheets of surfaces locally.



## Known results

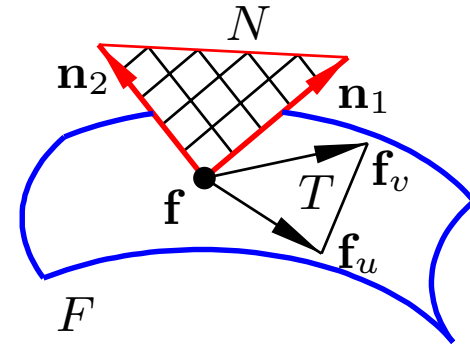
- Rational curve  $C$  in  $\mathbb{R}^4$ :  $\gamma(F)$  is a rational canal surface in  $\mathbb{R}^3$ . [Lü, Pottmann, H.'96; Pet., Pottmann'96, Krasauskas'07]
- Rational ruled surface  $F \in \mathbb{R}^4$ :  $\gamma(F)$  is the envelope of cones of revolution. [Pottmann, Lü, Ravani'96, Pet.'97]
- 2-dim. quadric  $F$  in  $\mathbb{R}^4$ . [Lü'96; Pet.'97]
- Quadratically parameterized surface  $F$  in  $\mathbb{R}^4$ , (quadratic Bézier surface). [Pet., Odehnal, Sampoli'08]
- MOS-surfaces. [Kosinka, Jüttler'07]





## Isotropic normal vector fields

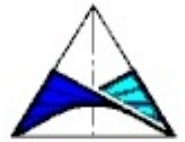
- Rational surface  $F : \mathbf{f}(u, v)$  in  $\mathbb{R}^4$ .
- Tangent plane  $T$  is Euclidean  $\iff$  normal plane  $N$  is pseudo-Euclidean.
- *Isotropic normal vectors*  $\mathbf{n}_1$  and  $\mathbf{n}_2 \in N$ .
- *MOS-surfaces* [Kosinka, Jüttler '07]: The envelope  $\gamma(F)$  admits rational parameterizations  $\iff$



$$A(\mathbf{f}) := \langle \mathbf{f}_u, \mathbf{f}_u \rangle \langle \mathbf{f}_v, \mathbf{f}_v \rangle - \langle \mathbf{f}_u, \mathbf{f}_v \rangle^2 = \sigma(u, v)^2 \quad (1)$$

is the complete square of a rational function  $\sigma(u, v)$ .

- We give geometric interpretations of condition (1) and explicit parameterizations of MOS-surfaces.



## Rational isotropic normal vector fields

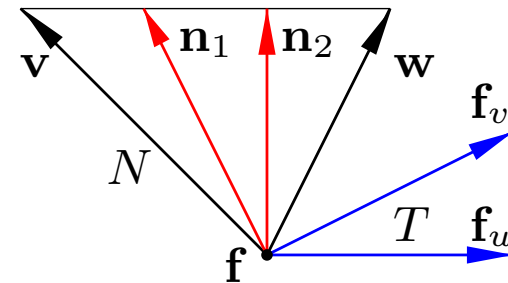
**Corollary 2** *A rational surface  $\mathbf{f}(u, v)$  in  $\mathbb{R}^4$  is an MOS-surface ( $A(\mathbf{f}) = \sigma(u, v)^2$ )  $\iff \mathbf{f}(u, v)$  possesses a *rational isotropic normal vector field*  $\mathbf{n}(u, v)$ .*

- Tangent plane  $T$ :  $\mathbf{f} + \lambda \mathbf{f}_u + \mu \mathbf{f}_v$ . Normal plane  $N$ :  $\mathbf{f} + \lambda \mathbf{v} + \mu \mathbf{w}$ .
- $\mathbf{n} = \mathbf{v} + t\mathbf{w}$  is isotropic,  $\iff$

$$\langle \mathbf{v} + t\mathbf{w}, \mathbf{v} + t\mathbf{w} \rangle = 0. \quad (2)$$

- (2) has a *rational* solution,  $\iff$

$$\begin{aligned} d &= \langle \mathbf{v}, \mathbf{w} \rangle^2 - \langle \mathbf{v}, \mathbf{v} \rangle \langle \mathbf{w}, \mathbf{w} \rangle \\ &= \rho^2 (\langle \mathbf{f}_u, \mathbf{f}_u \rangle \langle \mathbf{f}_v, \mathbf{f}_v \rangle - \langle \mathbf{f}_u, \mathbf{f}_v \rangle^2) \\ &= \rho^2 A(\mathbf{f}) = \rho^2 \sigma(u, v)^2. \end{aligned}$$



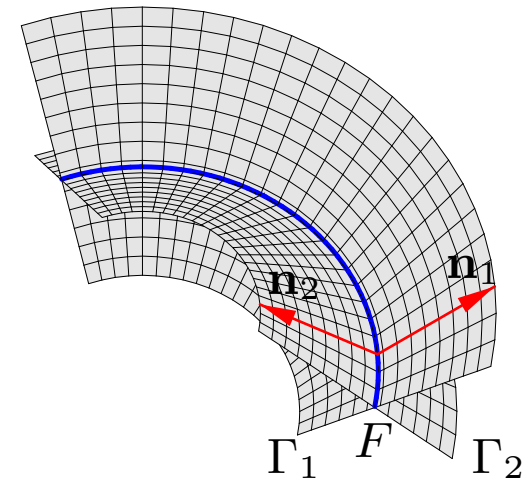
## Isotropic hypersurfaces in $\mathbb{R}^4$

- Rational 2-surface  $F \in \mathbb{R}^4$  parameterized by  $\mathbf{f}(u, v)$ .
- Isotropic normal vectors  $\mathbf{n}_1$  and  $\mathbf{n}_2$ .
- Isotropic hyper-surfaces  $\Gamma_1$  and  $\Gamma_2$  through  $F$  are parameterized by

$$\mathbf{g}_i(u, v, w) = \mathbf{f}(u, v) + w\mathbf{n}_i(u, v), \quad i = 1, 2.$$

- Rational  $\mathbf{n}_i \implies$  rational  $\Gamma_i$ .

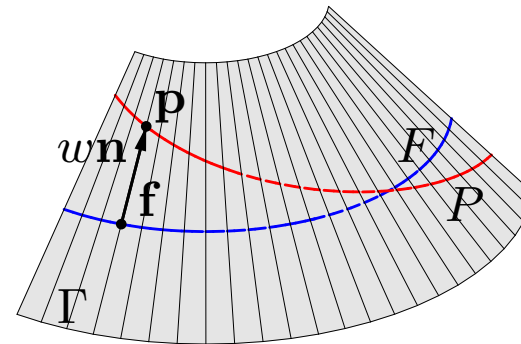
**Corollary 3** *A rational surface  $\mathbf{f}(u, v)$  in  $\mathbb{R}^4$  is an MOS-surface  $\iff \mathbf{f}(u, v)$  possesses a **rational isotropic hyper-surface  $\mathbf{G}$ .***



## Rational isotropic hypersurfaces in $\mathbb{R}^4$

**Theorem 4** *Rational isotropic hyper-surface  $\Gamma$  through  $F$ , with rational isotropic normal vectors  $\mathbf{n} \implies$*

*$\mathbf{n}(u, v)$  is a rational isotropic normal vector field of any **rational 2-dim. sub-variety**  $P$  with  $\mathbf{p}(u, v) = \mathbf{f}(u, v) + w(u, v)\mathbf{n}(u, v)$ , with a rational  $w(u, v)$ .*

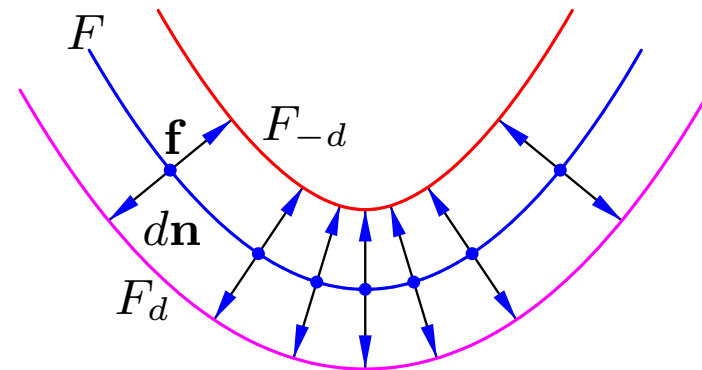


## PN-surfaces – Rational offset surfaces

**Definition 5** A rational surface  $\Phi$  in  $\mathbb{R}^3$  is called *PN-surface* if it possesses a *rational unit normal vector field*  $\mathbf{n}(u, v)$  corresponding to  $\mathbf{q}(u, v)$ .

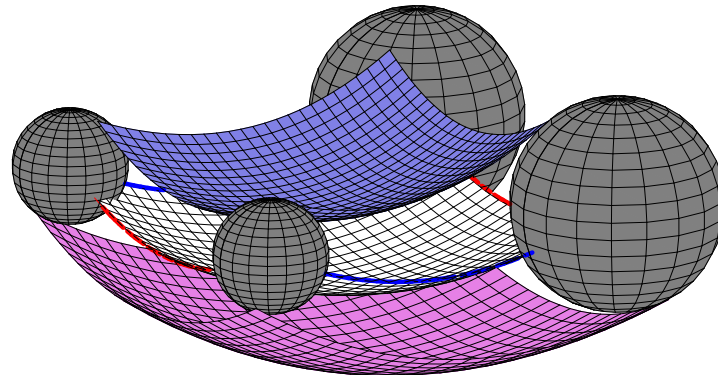
The offset surface  $\Phi_d$  of  $\Phi$  at oriented distance  $d$  admits a rational parameterization  $\mathbf{q}_d(u, v) = \mathbf{q}(u, v) + d\mathbf{n}(u, v)$ .

[Pottmann'95]

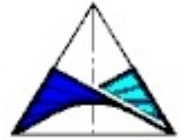


## Rational envelopes of spheres and PN-surfaces

**Corollary 6** *A rational surface  $\Phi \in \mathbb{R}^3$  is a PN-surface  $\iff \Phi$  is the intersection of a rational isotropic hypersurface  $\Gamma \subset \mathbb{R}^4$  with  $\mathbb{R}^3 : x_4 = 0$ .*



**Theorem 7** *Let  $S(u, v)$  be a rational two-parameter family of spheres corresponding to the MOS-surface  $F \iff$  the envelope surface  $\gamma(F)$  is a PN-surface.*



## Explicit Representations of MOS-surfaces

- Generalized stereographic projection [Dietz, Hoschek, Jüttler'93]  $\implies$  universal parameterization  $(A/D, B/D, C/D)$  of  $S^2$ , with

$$A = 2(ac+bd), \quad B = 2(bc-ad), \quad C = a^2+b^2-c^2-d^2, \quad D = a^2+b^2+c^2+d^2,$$

with polynomials  $a, b, c$  and  $d$  in  $u$  and  $v$ .

- Tangent planes of a PN-surface  $\Phi$  in  $\mathbb{R}^3$ :

$$T(u, v) : Ax + By + Cz = h, \quad \text{with rational } h.$$

- A point representation  $\mathbf{q}(u, v)$  of  $\Phi$  follows by  $T \cap T_u \cap T_v$ .

## Explicit Representations 2

$$\mathbf{q} = \frac{1}{E} \begin{pmatrix} B(C_u h_v - C_v h_u) + C(h_u B_v - h_v B_u) + h(B_u C_v - B_v C_u) \\ C(A_u h_v - A_v h_u) + A(h_u C_v - h_v C_u) + h(C_u A_v - C_v A_u) \\ A(B_u h_v - B_v h_u) + B(h_u A_v - h_v A_u) + h(A_u B_v - A_v B_u) \end{pmatrix},$$

with  $E = A(B_u C_v - B_v C_u) + B(C_u A_v - A_u C_v) + C(A_u B_v - A_v B_u)$ .

- Rat. isotropic hyper-surface  $\Gamma$  through  $\Phi$ :

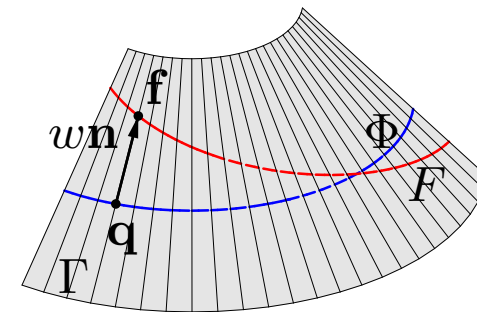
$$\mathbf{g}(u, v, w) = (\mathbf{q}(u, v), 0) + w\mathbf{n}(u, v),$$

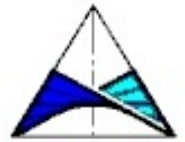
with  $\mathbf{n} = (A, B, C, D)$ .

- MOS-surfaces:

Rational 'radius function'  $w(u, v)$

$$\mathbf{f}(u, v) = (\mathbf{q}(u, v), 0) + w(u, v)\mathbf{n}(u, v).$$

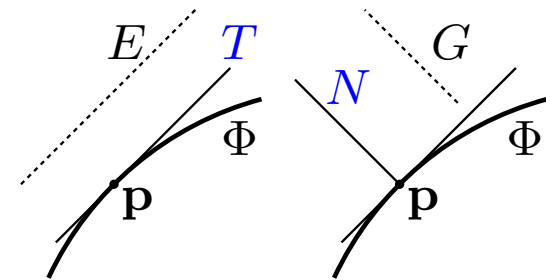


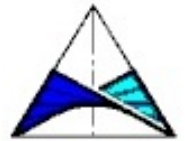


## New Example: Generalized LN-surfaces

**Definition 8** A rational two-dimensional surface  $\Phi$  in  $\mathbb{R}^4$  is called *generalized LN-surface* if it admits a parameterization  $\mathbf{p}(u, v)$  in a way that  $\Phi$ 's tangent planes are spanned by vectors  $\mathbf{s}(u, v)$  and  $\mathbf{t}(u, v)$  which are *linear in the surface parameters  $u$  and  $v$* .

- For almost all 3-spaces  $E$  in  $\mathbb{R}^4$  there exists a **unique tangent plane  $T$**  of  $\Phi$  with  $T \parallel E$ .
- For almost all lines  $G$  in  $\mathbb{R}^4$  there exists a **unique normal plane  $N$**  of  $\Phi$  with  $G \parallel N$ .





## Construction of the surfaces in $\mathbb{R}^4$

- The **tangent planes**  $T(u, v)$  of  $\Phi \subset \mathbb{R}^4$  are intersections of 3-spaces

$$E(u, v) : \mathbf{e}(u, v)^T \mathbf{x} = a(u, v), F(u, v) : \mathbf{f}(u, v)^T \mathbf{x} = b(u, v).$$

- $T(u, v) = E(u, v) \cap F(u, v)$  possesses an envelope  $\iff$  it exists a solution of the overdetermined system

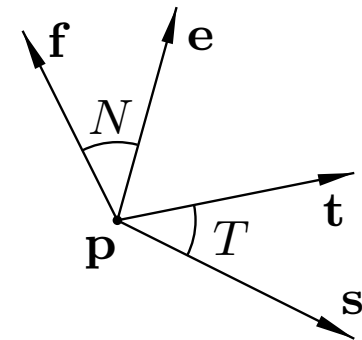
$$\begin{aligned} E : \mathbf{e}^T \mathbf{x} = a, & \quad E_u : \mathbf{e}_u^T \mathbf{x} = a_u, & \quad E_v : \mathbf{e}_v^T \mathbf{x} = a_v, \\ F : \mathbf{f}^T \mathbf{x} = b, & \quad F_u : \mathbf{f}_u^T \mathbf{x} = b_u, & \quad F_v : \mathbf{f}_v^T \mathbf{x} = b_v. \end{aligned}$$

has a solution  $\mathbf{p}(u, v)$ .

- For any vector  $\mathbf{w} \in \mathbb{R}^4$ , the equations

$$\langle \mathbf{s}, \mathbf{w} \rangle = 0, \langle \mathbf{t}, \mathbf{w} \rangle = 0$$

lead to **rational expressions** for  $u$  and  $v$ .



## Surfaces of Type 1

- Normal form for the surface construction:

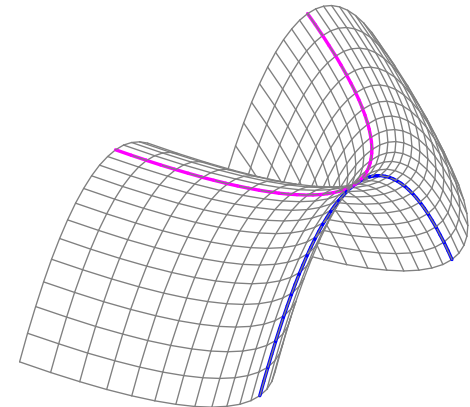
$$\mathbf{e}(u) = (1, 0, u, 0), \mathbf{f}(v) = (0, 1, 0, v), \text{ and } a_v = 0, b_u = 0.$$

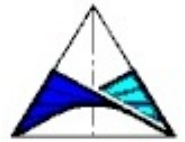
- A generalized LN-surface  $\Phi$  in  $\mathbb{R}^4$  of type 1 is a *translational surface*  $\mathbf{p}(u, v) = \mathbf{c}(u) + \mathbf{d}(v)$  with planar LN-curves as profile curves,

$$\mathbf{p}(u, v) = (a - ua_u, 0, a_u, 0) + (0, b - vb_v, 0, b_v).$$

- The tangent planes  $T$  of  $\Phi$  are spanned by  $\mathbf{s} = (-u, 0, 1, 0)$  and  $\mathbf{t} = (0, -v, 0, 1)$ .
- For any vector  $\mathbf{w} = (w_1, w_2, w_3, w_4)$ , the equations  $\mathbf{w}^T \mathbf{s} = 0$  and  $\mathbf{w}^T \mathbf{t} = 0$  result in

$$u = \frac{w_3}{w_1}, v = -\frac{w_4}{w_2}.$$





## Surfaces of Type 2

- Normal form for the surface construction:

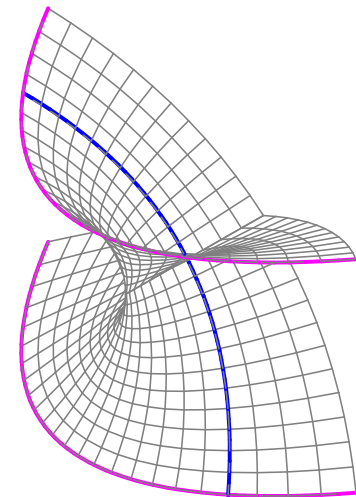
$$\mathbf{e}(u, v) = (1, 0, -u, v), \mathbf{f}(u, v) = (0, -1, v, u), \text{ and } a_u = -b_v, a_v = b_u.$$

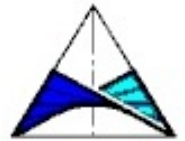
- A generalized LN-surface  $\Phi$  in  $\mathbb{R}^4$  of type 2 is a translational surface  $\mathbf{p}(z) = 1/2(\mathbf{c}(z) + \overline{\mathbf{c}(z)})$  with a pair of planar conjugate complex LN-curves as profile curves, with  $f = b + ia$ ,  $z = u + iv$  and  $\mathbf{c}(z) = (i(zf_z - f), (zf_z - f), if_z, f_z)$ .
- $\Phi$  is a Euclidean minimal surface.

- A rational parameterization of  $\Phi$  reads

$$\mathbf{p}(u, v) = (a - ua_u - va_v, -b - va_u + ua_v, -a_u, a_v).$$

- The tangent planes  $T$  of  $F$  are spanned by  $\mathbf{s} = (u, v, 1, 0)$  and  $\mathbf{t} = (-v, u, 0, 1)$ .



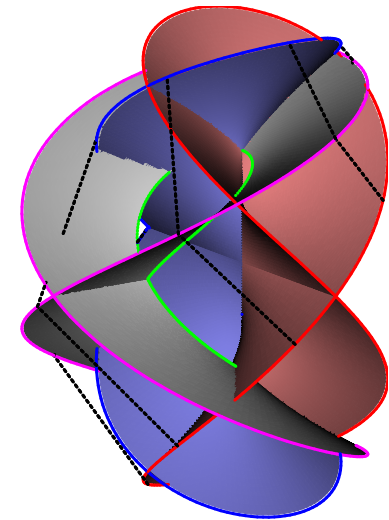


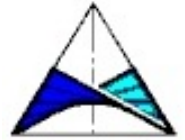
## Envelopes corresponding to Type 2

- The tangent planes  $T$  of  $\Phi$  are spanned by  $\mathbf{s} = (u, v, 1, 0)$  and  $\mathbf{t} = (-v, u, 0, 1)$ .
- For any vector  $\mathbf{w} = (w_1, w_2, w_3, w_4)$ , the equations  $\mathbf{w}^T \mathbf{s} = 0$  and  $\mathbf{w}^T \mathbf{t} = 0$  result in

$$\begin{pmatrix} w_1 & w_2 \\ w_2 & -w_1 \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} -w_3 \\ w_4 \end{pmatrix}.$$

- With  $\mathbf{w} = (2s, 2t, 1 - s^2 - t^2, 1 + s^2 + t^2)$  one finally obtains a rational parameterization of the envelope surface of the corresponding 2-par. family of spheres.





## Surfaces of Type 3

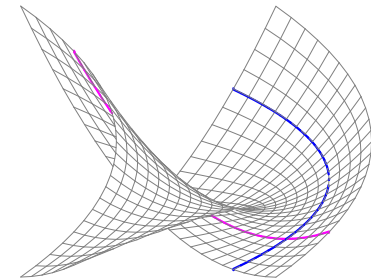
- Normal form for the surface construction:

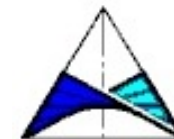
$$\mathbf{e} = (u, 0, -1, -u^2 + v), \mathbf{f} = (-v, 1, 0, uv), \text{ and } b_u = va_v, b_v = -a_u - ua_v.$$

- $a_{uu} = -ua_{uv} - 2a_v - va_{vv}$  and  $b = \int va_v du - \int (\int (va_{vv} + a_v) du + a_u + ua_v) dv + C.$
- A rational parameterization of  $\Phi$  reads

$$\mathbf{p}(u, v) = (ua_v - b_v, b - vb_v, -a + b_u - ub_v, a_v).$$

Choosing polynomials  $a(u, v) = -1/2u^3 + uv$  and  $b(u, v) = 1/2u^2v - 1/2v^2$  we obtain a quadratically parameterized surface by  $\mathbf{p}(u, v) = (1/2u^2 + v, 1/2v^2, uv, u).$





## Summary

- We have presented a geometric characterization of the class of surfaces in  $\mathbb{R}^4$  which correspond to two-parameter families of spheres whose **envelope surfaces** admit **rational parameterizations**.
- New example: Generalized LN-surfaces in  $\mathbb{R}^4$ .

Thank you for your attention.