# Snappability and singularity-distance of frameworks

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# Outline

- 1. Introduction
- 2. Theory
- 3. Examples



# 1. Introduction

For the detailed references of the cited literature please see

GN: Snappability and singularity-distance of pin-jointed body-bar frameworks. arXiv:2101.02490 (2021)



### **Frameworks**



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#### **Geometric abstraction**

Knots are reduced to points and bars to straight line-segments.



### **Fundamentals**

#### Graph G of a framework

consists of a knot-set  $\mathcal{K} = \{K_1, \ldots, K_s\}$ , where knots  $K_i$  and  $K_j$  are connected by edges  $e_{ij}$  ( $\Rightarrow$  combinatorial structure).





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#### **Realization** G(K)

with  $\mathbf{K} = (\mathbf{k}_1, \dots, \mathbf{k}_s) \in \mathbb{R}^{sd}$  corresponds to the embedding of the framework with fixed inner geometry into the Euclidean *d*-space.



The relation that two knots  $K_i$  and  $K_j$  are edge-connected can also be expressed algebraically as  $\|\mathbf{k}_i - \mathbf{k}_j\|^2 = L_{ij}^2$ .

In addition we can add 6 (for d = 3) or 3 (for d = 2) linear conditions to eliminate isometries. We end up with *n* algebraic conditions in m = sd unknowns constituting an algebraic variety  $A(c_1, \ldots, c_n)$ .



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if it belongs to a real positive-dimensional component of  $A(c_1, \ldots, c_n)$ . For  $n \ge m$  the motion is called **paradox**.



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#### Def.: A realization is rigid

if it corresponds to an real isolated solution of  $A(c_1, \ldots, c_n)$ . If it is unique then we have a global rigidity; otherwise a local one.



Example: planar parallel mechanism



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 $\exists$  paradox mobile realization



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Rigid realization

The realization is called **isostatic** (minimally rigid) if the removal of any edge constraint will make the realization flexible ( $\Leftrightarrow m = n$ ).

**Remark:** There is also a combinatorial characterization of isostaticity for generic frameworks in  $\mathbb{R}^2$  according to Laman (1970).

We can compute in a realization the tangent-hyperplane to each of the hypersurfaces  $c_i = 0$  in  $\mathbb{R}^m$  for i = 1, ..., n. The normal vectors of these tangent-hyperplanes constitute the columns of the  $m \times n$  rigidity matrix  $\mathbf{R}_{G(\mathbf{K})}$  of the realization  $G(\mathbf{K})$ .



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For  $rk(\mathbf{R}_{G(\mathbf{K})}) < m$  the realization  $G(\mathbf{K})$  is infinitesimal flexible; i.e. the hyperplanes have a positive-dimensional affine subspace in common. Therefore the intersection multiplicity of the *n* hypersurfaces is at least two in a shaky realization.



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**Remark:** For isostatic frameworks the infinitesimal flexibility is characterized by  $det(\mathbf{R}_{G(\mathbf{K})}) = 0$ .



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Open problem: The meaning of closeness!

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From two realizations with the same inner geometry we get a shaky realization with a different intrinsic metric.

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Two realizations with the same inner geometry are obtained from a shaky realization with a different intrinsic metric.

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**Holmes-Cerfon, Theran & Gortler** (2021) computed bounds for these quantities for arbitrary bar-joint frameworks.

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# 2. Theory

In the presentation we restrict to pin-jointed frameworks composed of bars and triangular panels but the theory can be generalized to polygonal panels and polyhedra as well; cf.

GN: Snappability and singularity-distance of pin-jointed body-bar frameworks. arXiv:2101.02490 (2021)



### **Physical Model of Deformation**

Due to the fact that the elastic deformation during the process of snapping are expected to be small, we can apply Hooke's law; i.e.

$$\underbrace{\begin{pmatrix} \varepsilon_{x} \\ \varepsilon_{y} \\ \gamma_{xy} \end{pmatrix}}_{\mathbf{e}} = \underbrace{\frac{1}{E} \begin{pmatrix} 1 & -\nu & 0 \\ -\nu & 1 & 0 \\ 0 & 0 & 2(1+\nu) \end{pmatrix}}_{=:\mathbf{D}(\nu)} \begin{pmatrix} \delta_{x} \\ \delta_{y} \\ \tau_{xy} \end{pmatrix}.$$
(1)

- normal stress  $\delta_{x/y}$  and normal strain  $\varepsilon_{x/y}$  in x/y-direction
- shear stress  $\tau_{xy}$  and shear strain  $\gamma_{xy}$  in the xy-plane
- Poisson ration  $\nu$  and Young modulus E

For a bar (in x-direction) the relation reduces to  $\varepsilon_x = \frac{\delta_x}{E}$ .
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#### Assumptions

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  - (a) deforming at constant volume u = 1/2
  - (b) having a positive Young modulus E > 0.
- (II) all bars have the same cross-sectional area A,
- (III) triangular bar structure and triangular panel are made of the same amount of material.



The deformation of the triangular panel  $K_i, K_j, K_k$  into  $K'_i, K'_j, K'_k$  can be represented by a 2 × 2 matrix **F** in terms of edge lengths.



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Based on F the Green-Lagrange (GL) strains can be computed as

$$\begin{pmatrix} \varepsilon_{x} & \gamma_{xy} \\ \gamma_{xy} & \varepsilon_{y} \end{pmatrix} = \frac{1}{2} \left( \mathbf{F}^{\mathsf{T}} \mathbf{F} - \mathbf{I} \right).$$



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The elastic GL strain energy of the deformation is calculated as

$$U_{ijk} = V_{ijk} \frac{1}{2} \mathbf{e}^T \mathbf{D}^{-1} \mathbf{e}$$
 where  $V_{ijk}$  denotes the panel volume.



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Analogue: GL strain energy of a deformed bar can be computed as

$$U_{ij} = \frac{EA}{8L_{ij}^3} (L'_{ij}^2 - L_{ij}^2)^2$$
 where  $L'_{ij}$  denotes the deformed length.

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### Total elastic strain energy density

$$u(\mathbf{L}') = \frac{\sum U_{ij} + \sum U_{ijk}}{\sum AL_{ij} + \sum A(L_{ij} + L_{ik} + L_{jk})} \quad \text{with } \mathbf{L}' = (\dots, L'_{ij}, \dots)$$



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#### Lemma 1.

 $u(\mathbf{L}')$  is a fourth order polynomial with respect to the variables  $L'_{ij}$  which only appear with even powers, but it does not depend on A. Moreover,  $u(\mathbf{L}')$  is positive semi-definite.



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**Remark.** Therefore  $u(\mathbf{L}')$  can be written in matrix formulation as  $u(\mathbf{Q}') = \mathbf{Q}'^T \mathbf{M} \mathbf{Q}'$  where **M** is a symmetric (b + 1)-matrix and  $\mathbf{Q}' := (1, \dots, Q'_{ij}, \dots)^T$  is composed of the *b* squared edge lengths  $Q'_{ij} := L'_{ij}^2$  and the number 1.

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## Pseudometric on the space $\mathbb{R}^{b}$

#### Lemma 2.

#### The following function

$$d: \mathbb{R}^b imes \mathbb{R}^b o \mathbb{R}_{\geq 0}$$
 with  $(\mathbf{L}', \mathbf{L}'') \mapsto d(\mathbf{L}', \mathbf{L}'') := \frac{|u(\mathbf{L}') - u(\mathbf{L}'')|}{E}$ 

is a pseudometric on the *b*-dimensional space of intrinsic framework metrics given by  $\mathbf{L}'$  and  $\mathbf{L}''$ , respectively. Moreover, the pseudometric does not depend on the choice of *E*.



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Proof: One can easily check the axioms for a pseudometric:

(1)  $d(\mathbf{L}', \mathbf{L}'') \ge 0$ (2)  $d(\mathbf{L}', \mathbf{L}') = 0$ (3)  $d(\mathbf{L}', \mathbf{L}'') = d(\mathbf{L}'', \mathbf{L}')$ (4)  $d(\mathbf{L}', \mathbf{L}''') \le d(\mathbf{L}', \mathbf{L}'') + d(\mathbf{L}'', \mathbf{L}''')$ 

Due to Assumption I, Young's modulus *E* factors out of u(L').  $\Box$ 

#### Theorem 1.

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Proof: Based on characterization of shakiness in terms of self-stress: If one can assign to each edge  $e_{ij}$  of  $G(\mathbf{k}')$  a stress  $\omega_{ij} \in \mathbb{R}$  in a way that for each knot the so-called *equilibrium condition* 

$$\sum_{i < j} \omega_{ij} (\mathbf{k}'_i - \mathbf{k}'_j) + \sum_{i > j} \omega_{ji} (\mathbf{k}'_i - \mathbf{k}'_j) = \mathbf{o}$$

is fulfilled, then  $\omega = (\dots, \omega_{ij}, \dots) \in \mathbb{R}^b$  is referred as *self-stress*. If  $\omega \neq \mathbf{0}$ , then the realization  $G(\mathbf{k}')$  of an isostatic framework is shaky.

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The system of equations characterizing critical points of  $u(\mathbf{K}')$ :

$$\nabla_i u(\mathbf{K}') = \mathbf{o}$$
 with  $\nabla_i u(\mathbf{K}') = \left(\frac{\partial u}{\partial k'_{i,1}}, \dots, \frac{\partial u}{\partial k'_{i,d}}\right)$   $i = 1, \dots, s$ 

where  $(k'_{i,1}, \ldots, k'_{i,d})$  is the coordinate vector f  $\mathbf{k}'_i \in \mathbb{R}^d$ .



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where  $(k'_{i,1}, \ldots, k'_{i,d})$  is the coordinate vector of  $\mathbf{k}'_i \in \mathbb{R}^d$ . Due to the sum rule for derivatives we only have to investigate  $\nabla_i$ 

of  $U_{ijk}(\mathbf{K}')$  and  $U_{ij}(\mathbf{K}')$ , which can be written as

$$\nabla_i U_{ij} = \omega_{ij} (\mathbf{k}'_i - \mathbf{k}'_j) \qquad \text{with} \quad \omega_{ij} = \frac{A(L_{ij}^{\prime 2} - L_{ij}^2)}{2L_{ij}^3}$$
$$\nabla_i U_{ijk} = \omega_{ij} (\mathbf{k}'_i - \mathbf{k}'_j) + \omega_{ik} (\mathbf{k}'_i - \mathbf{k}'_k) \quad \text{with} \quad \omega_{ij} = \dots, \quad \omega_{ik} = \dots$$

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Therefore  $\nabla_i u(\mathbf{K}')$  has the shape of the equilibrium condition.

## Further connection between shakiness and snapping

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#### Theorem 2.

If an isostatic framework snaps out of a stable realization  $G(\mathbf{K})$  by applying the minimum GL strain energy needed to it, then the corresponding deformation has to pass a shaky realization  $G(\mathbf{K}')$  at the maximum state of deformation.



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if it corresponds to a local minimum of the total elastic strain energy (density) of the framework.

#### Theorem 2.

If an isostatic framework snaps out of a stable realization  $G(\mathbf{K})$  by applying the minimum GL strain energy needed to it, then the corresponding deformation has to pass a shaky realization  $G(\mathbf{K}')$  at the maximum state of deformation.

Proof: We think of u as a graph function over the space  $\mathbb{R}^{sd}$  of knot configurations. In order to get out of the valley of the local minimum  $(\mathbf{K}, u(\mathbf{K}))$  with a minimum of energy needed, one has to pass a *saddle point*  $(\mathbf{K}', u(\mathbf{K}'))$ .

**Def.: Snappability** of a realization G(K)

is given by  $s(\mathbf{K}) := d(\mathbf{L}, \mathbf{L}')$  with  $G(\mathbf{K}')$  of Theorem 2.



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### Algorithm:

(1) We compute the set S of saddle points. Let us assume that  $G(\mathbf{K}') \in S$  yields the minimal value for  $d(\mathbf{L}, \mathbf{L}')$ .



#### **Def.: Snappability** of a realization G(K)

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#### Algorithm:

(1) We compute the set S of saddle points. Let us assume that  $G(\mathbf{K}') \in S$  yields the minimal value for  $d(\mathbf{L}, \mathbf{L}')$ .

(2)  $\mathbf{Q}_t := \mathbf{Q} + t(\mathbf{Q}' - \mathbf{Q})$  with  $t \in [0, 1]$  implies a path  $\mathbf{L}_t$  in  $\mathbb{R}^b$ . Along this path the deformation energy of each bar and triangular plate is *monotonic increasing* ensuring that the minimum mechanical work needed is applied to reach  $G(\mathbf{K}')$ . This results from Lemma 1, as  $U_{ijk}(\mathbf{L}_t)$  as well as  $U_{ij}(\mathbf{L}_t)$  are quadratic functions in t, which are at their minima for t = 0.

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(3) The path  $L_t$  corresponds to different 1-parametric deformations of realizations in  $\mathbb{R}^d$ . If among these a deformation  $G(\mathbf{K}_t)$  with

$$G(\mathbf{K}_t)\big|_{t=0} = G(\mathbf{K}), \quad G(\mathbf{K}_t)\big|_{t=1} = G(\mathbf{K}')$$

exists, then  $G(\mathbf{K})$  is deformed into  $G(\mathbf{K}')$  under  $\mathbf{L}_t \Longrightarrow s(\mathbf{K})$ 



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(4) Otherwise we redefine S as  $S \setminus \{G(\mathbf{K}')\}$  and run again the procedure. If we end up with  $S = \emptyset$  then we set  $s(\mathbf{K}) = \infty$ .

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## Singularity-distance

#### Theorem 3.

For a non-shaky realization  $G(\mathbf{K})$  of an isostatic framework the singularity-distance  $\varsigma(\mathbf{K})$  equals the snappability  $s(\mathbf{K})$ .



## Singularity-distance

#### Theorem 3.

For a non-shaky realization  $G(\mathbf{K})$  of an isostatic framework the singularity-distance  $\varsigma(\mathbf{K})$  equals the snappability  $s(\mathbf{K})$ .

Proof:  $\varsigma(\mathbf{K}) \leq s(\mathbf{K})$  has to hold, as  $G(\mathbf{K}')$  of Theorem 2 is shaky. We show that the assumption  $\varsigma(\mathbf{K}) < s(\mathbf{K})$  implies a contradiction.

We denote by  $G(\mathbf{K}'')$  shaky configuration implying  $\varsigma(\mathbf{K}) = d(\mathbf{L}, \mathbf{L}'')$ . Then  $\mathbf{Q}_t := \mathbf{Q} + t(\mathbf{Q}'' - \mathbf{Q})$  with  $t \in [0, 1]$  corresponds to a set of 1-parametric deformations  $\{G(\mathbf{K}_t^1), G(\mathbf{K}_t^2), \ldots\}$ .

A subset  $\mathcal{D}$  of this set has the property  $G(\mathbf{K}_t^i)|_{t=1} = G(\mathbf{K}'')$  where  $\#\mathcal{D} > 1$  holds as  $G(\mathbf{K}'')$  is shaky. Hence the framework can snap out of  $G(\mathbf{K})$  over  $G(\mathbf{K}'')$  which contradicts  $\varsigma(\mathbf{K}) < s(\mathbf{K})$ .  $\Box$ 

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# 3. Examples

For a detailed comparison of the following presented results with those given in the literature please see

GN: Snappability and singularity-distance of pin-jointed body-bar frameworks. arXiv:2101.02490 (2021)



### Michael Goldberg (1978):

The polyhedron consists of 20 equilateral triangles and has 12 vertices and 30 edges.







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The SD has a reflexion-symmetry with respect to two orthogonal planes.

The deformation of the SD keeping this symmetry property is 11-dimensional.









The SD can snap out of the symmetric realization  $G(\mathbf{K}_1)$  into one of the two asymmetric realizations  $G(\mathbf{K}_2)$  and  $G(\mathbf{K}_3)$ , respectively.





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**Isostaticity.** Every closed polyhedral surface of genus 0 with triangular faces is isostatic. This isostaticity remains intact under the assumption of the 2-fold reflexion-symmetry.

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#### **Results for joint-bar/panel-hinge framework**

The obtained system of 11 equations  $\nabla u$  results in 177147 paths within a total degree homotopy. The path tracking done by the software Bertini ends up in 22153/20305 finite real solutions. After reduction to the set S we remain with 21904/20056 solutions.




## Siamese Dipyramid

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We get  $s(\mathbf{K}_{1,2,3}) = \varsigma(\mathbf{K}_{1,2,3}) = 1.661376 \cdot 10^{-6} / 4.466362 \cdot 10^{-6}$ .



## **Siamese Dipyramid**

#### Model flexibility

The model snaps between three realizations.



## Siamese Dipyramid

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The model snaps between three realizations.

The maximal change of an edge-length is approximately **3mm** if the triangles have a side length of **1m**.

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Casper Schwabe (1984):

The polyhedron has 10 vertices, 24 edges and consists of 16 congruent isosceles triangles with  $\alpha := \measuredangle(\text{leg,base}) = 22.5^{\circ}$ .





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From the combinatorial point of view  $FH_{\alpha}$  equals a SD with pentagonal equatorial polygons.



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 $\mathsf{FH}_\alpha$  has again a reflexion-symmetry with respect to two orthogonal planes.

The deformation of  $FH_{\alpha}$  keeping this symmetry property is 9-dimensional.





 $FH_{\alpha}$  can snap out of the symmetric realization  $G(\mathbf{K}_1)$  into one of the two flat realizations  $G(\mathbf{K}_2)$  and  $G(\mathbf{K}_3)$ , which are **shaky**.

We consider  $FH_{\alpha}$  where  $\alpha$  equals 15°, 22.5° and 30°, respectively.

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## **Results for joint-bar/panel-hinge framework**

The obtained system of 9 equations  $\nabla u$  results in 19683 paths within a total degree homotopy. Note that  $\varsigma(\mathbf{K}_{2,3}) = 0$  holds.

α	# real solutions	$\# \mathcal{S}$	$s(K_{1,2,3})=arsigma(K_{1})$
$15^{\circ}$	<mark>923</mark> /1 324	897/1238	$9.864008 \cdot 10^{-11} / 6.288380 \cdot 10^{-8}$
$22.5^{\circ}$	<mark>924</mark> /1259	<mark>863/</mark> 1242	$1.753810 \cdot 10^{-8} / 1.748173 \cdot 10^{-6}$
$30^{\circ}$	<mark>917</mark> /1 457	819/1360	$2.035395 \cdot 10^{-7} / 2.340885 \cdot 10^{-5}$





## Model flexibility

The model snaps between three realizations.



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The maximal change of an edge-length is approximately **0.02mm**... $\alpha = 15^{\circ}$ **0.29mm**... $\alpha = 22.5^{\circ}$ **2.06mm**... $\alpha = 30^{\circ}$ if the average edge length equals **1m**.

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## Walter Wunderlich (1971):

Closed serial chain composed of four directly congruent tetrahedral chain elements, which are jointed by four hinges.







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It consists of two sets of 8 congruent triangles and has 8 vertices and 20 edges.

The 4R loop has a threefold reflexion symmetry with respect to three copunctal lines, which are pairwise orthogonal.

The deformation of the 4R loops keeping this symmetry property is 6-dimensional. Under this symmetry assumption the framework is also isostatic.





The 4R loop snaps out of the realization  $G(\mathbf{K}_1)$  over the shaky configuration  $G(\mathbf{K}')$  into the realization  $G(\mathbf{K}_2)$ .





The 4R loop snaps out of the realization  $G(\mathbf{K}_1)$  over the shaky configuration  $G(\mathbf{K}')$  into the realization  $G(\mathbf{K}_2)$ .



## **Results for joint-bar/panel-hinge framework**

The obtained system of 6 equations  $\nabla u$  results in 729 paths within a total degree homotopy.

# real solutions# S $s(\mathbf{K}_{1,2}) = \varsigma(\mathbf{K}_{1,2})$ 113/16196/1446.762914 \cdot 10^{-7}/9.363722 \cdot 10^{-6}

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## Model flexibility

The model snaps between two realizations.



#### **Model flexibility**

The model snaps between two realizations.

The maximal change of an edge-length is approximately **2.36mm** if the average edge length equals **1m**.

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# Thank you for your attention!

