

# On Spatial Involute Gearing

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## Abstract

This is a geometric approach to spatial involute gearing which has recently been developed by Jack Phillips [2]. New proofs of Phillips' fundamental theorems are given. And it is pointed out that also a permanent straight line contact is possible for conjugate helical involutes. In addition, the gearing is illustrated in various ways.

**Key Words and Phrases:** Spatial gearing, involute gearing, helical involute

## 1 Basic kinematics of the gear set

The function of a gear set is to transmit a rotary motion of the input wheel  $\Sigma_1$  about the axis  $p_{10}$  with angular velocity  $\omega_{10}$  to the output wheel  $\Sigma_2$  rotating about  $p_{20}$  with  $\omega_{20}$  in a uniform way, i.e., with a constant *transmission ratio*

$$i := \omega_{20}/\omega_{10} = \text{const.} \quad (1)$$

According to the relative position of the gear axes  $p_{10}$  and  $p_{20}$  we distinguish the following types (see Fig. 1):

- a) Planar gearing (*spur gears*) for parallel axes  $p_{10}, p_{20}$ ,
- b) spherical gearing (*bevel gears*) for intersecting axes  $p_{10}, p_{20}$ , and
- c) spatial gearing (*hyperboloidal gears*) for skew axes  $p_{10}, p_{20}$ , in particular *worm gears* for orthogonal  $p_{10}, p_{20}$ .

### 1.1 Planar gearing

In the case of parallel axes  $p_{10}, p_{20}$  we confine us to a perpendicular plane where two systems  $\Sigma_1, \Sigma_2$  are rotating against  $\Sigma_0$  about centers 10, 20 with velocities  $\omega_{10}, \omega_{20}$ , respectively. Two curves  $c_1 \subset \Sigma_1$  and  $c_2 \subset \Sigma_2$  are *conjugate* profiles when they are in permanent contact during the transmission, i.e.,  $(c_2, c_1)$  is a pair of enveloping curves under the relative motion  $\Sigma_2/\Sigma_1$ . Due to a standard theorem from plane kinematics (see, e.g., [5] or [1]) the common normal at the point  $E$  of contact must pass through the pole 12 of this relative motion. The planar Three-Pole-Theorem states that 12 divides the segment 01 02 at the constant ratio  $i$ . Hence also 12 is fixed in  $\Sigma_0$ . We summarize:

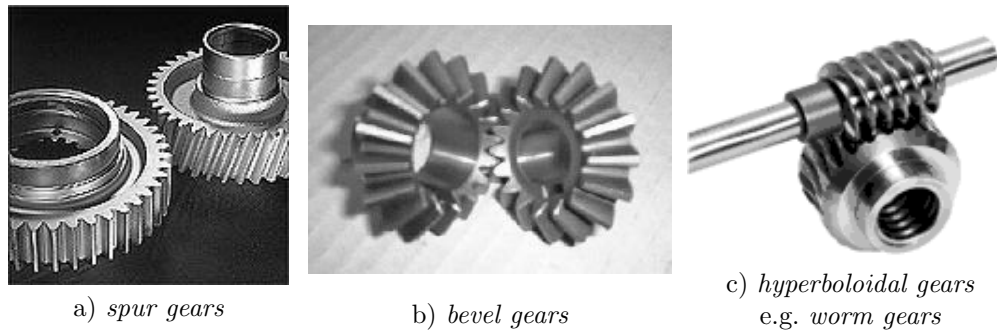


Figure 1: Types of gears

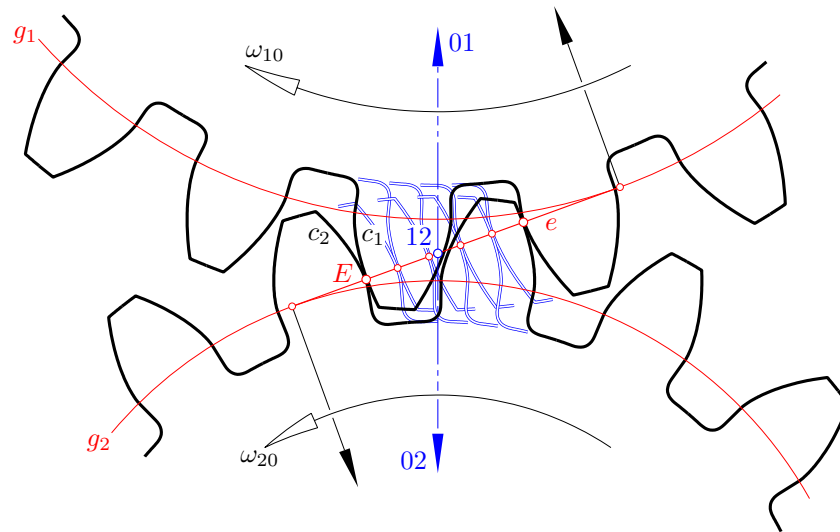


Figure 2: Planar involute gearing

**Theorem 1.1 (Fundamental law of planar gearing):**

The profiles  $c_1 \subset \Sigma_1$  and  $c_2 \subset \Sigma_2$  are conjugate if and only if the common normal  $e$  (= meshing normal) at the point  $E$  of contact (= meshing point) passes through the relative pole 12.

Due to L. Euler (1765) planar *involute gearing* (see Fig. 2) is characterized by the condition that with respect to the fixed system  $\Sigma_0$  all meshing normals  $e$  are coincident. This implies

- (i) The profiles are involutes of the base circles, i.e., circles tangent to the meshing normal and centered at 01, 02, respectively.
- (ii) For constant driving velocity  $\omega_{10}$  the point of contact  $E$  runs relative to  $\Sigma_0$  with constant velocity along  $e$ .
- (iii) The transmitting force has a fixed line of action.

- (iv) The transmission ratio depends only on the dimensions of the curves  $c_1, c_2$  and not on their relative position. Therefore this planar gearing remains *independent of errors upon assembly*.

## 1.2 Basics of spatial kinematics

There is a tight connection between spatial kinematics and the geometry of lines in the Euclidean 3-space  $\mathbb{E}^3$ .

### 1.2.1 Metric line geometry in $\mathbb{E}^3$

Any oriented line (*spear*)  $g = \mathbf{a} + \mathbb{R}\mathbf{g}$  can be uniquely represented by the pair of vectors  $(\mathbf{g}, \widehat{\mathbf{g}})$ , the *direction vector*  $\mathbf{g}$  and the *momentum vector*  $\widehat{\mathbf{g}}$ , with

$$\mathbf{g} \cdot \mathbf{g} = 1 \quad \text{and} \quad \widehat{\mathbf{g}} := \mathbf{a} \times \mathbf{g}.$$

It is convenient to combine this pair to a *dual vector*

$$\underline{\mathbf{g}} := \mathbf{g} + \varepsilon \widehat{\mathbf{g}},$$

where the dual unit  $\varepsilon$  obeys the rule  $\varepsilon^2 = 0$ . We extend the usual dot product of vectors to dual vectors and notice

$$\underline{\mathbf{g}} \cdot \underline{\mathbf{g}} = \mathbf{g} \cdot \mathbf{g} + 2\mathbf{g} \cdot \widehat{\mathbf{g}} = 1 + \varepsilon 0 = 1.$$

Hence we call  $\underline{\mathbf{g}}$  a *dual unit vector*.

**Theorem 1.2** *There is a bijection between oriented lines (spears)  $g$  in  $\mathbb{E}^3$  and dual unit vectors  $\underline{\mathbf{g}}$*

$$g \mapsto \underline{\mathbf{g}} = \mathbf{g} + \varepsilon \widehat{\mathbf{g}} \quad \text{with} \quad \underline{\mathbf{g}} \cdot \underline{\mathbf{g}} = 1.$$

The following theorem reveals the geometric meaning of the dot product and the cross product of dual unit vectors, expressed in terms of the *dual angle* (see Fig. 3)  $\underline{\varphi} = \varphi + \varepsilon \widehat{\varphi}$  between  $g$  and  $h$ , i.e., with  $\varphi = \sphericalangle gh$  and  $\widehat{\varphi}$  as shortest distance between the straight lines (compare [3], p. 155 ff):

**Theorem 1.3** *Let  $\underline{\varphi} = \varphi + \varepsilon \widehat{\varphi}$  be the dual angle between the spears  $g$  and  $h$  and let  $n$  be a spear along a common perpendicular. Then we have*

$$\begin{aligned} \cos \varphi - \varepsilon \widehat{\varphi} \sin \varphi &= \underline{\cos \varphi} = \underline{\mathbf{g}} \cdot \underline{\mathbf{h}} = \mathbf{g} \cdot \mathbf{h} + \varepsilon(\widehat{\mathbf{g}} \cdot \mathbf{h} + \mathbf{g} \cdot \widehat{\mathbf{h}}), \\ (\sin \varphi + \varepsilon \widehat{\varphi} \cos \varphi)(\mathbf{n} + \varepsilon \widehat{\mathbf{n}}) &= \underline{\sin \varphi} \underline{\mathbf{n}} = \underline{\mathbf{g}} \times \underline{\mathbf{h}} = \mathbf{g} \times \mathbf{h} + \varepsilon(\widehat{\mathbf{g}} \times \mathbf{h} + \mathbf{g} \times \widehat{\mathbf{h}}). \end{aligned}$$

The components  $\varphi, \widehat{\varphi}$  of the dual angle  $\underline{\varphi}$  are signed according to the orientation of the common perpendicular  $n$ . When the orientation of  $n$  is reversed then  $\varphi$  and  $\widehat{\varphi}$  change their sign. When the orientation either of  $g$  or of  $h$  is reversed then  $\varphi$  has to be replaced by  $\varphi + \pi \pmod{2\pi}$ . Hence, the product  $(\widehat{\varphi} \tan \varphi)$  is invariant against any change of orientation.

$\underline{\mathbf{g}} \cdot \underline{\mathbf{h}} = 0$  characterizes perpendicular intersection.

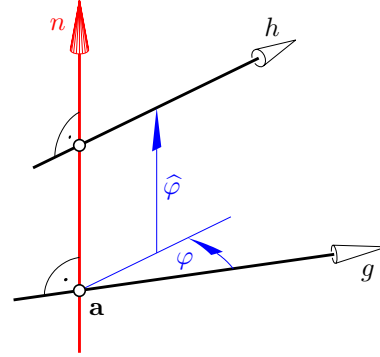


Figure 3: Two spears  $g, h$  with the dual angle  $\underline{\varphi} = \varphi + \varepsilon \widehat{\varphi}$

### 1.2.2 Instantaneous screw

There is also a geometric interpretation for dual vectors which are not unit vectors: At any moment a spatial motion  $\Sigma_i/\Sigma_j$  assigns to the point  $X \in \Sigma_i$  (coordinate vector  $\mathbf{x}$ ) the *velocity vector*

$${}_X\mathbf{v}_{ij} = \widehat{\mathbf{q}}_{ij} + (\mathbf{q}_{ij} \times \mathbf{x}). \quad (2)$$

relative to  $\Sigma_j$ . We combine the pair  $(\mathbf{q}_{ij}, \widehat{\mathbf{q}}_{ij})$  again to a dual vector  $\underline{\mathbf{q}}_{ij}$ . This vector can always be expressed as a multiple of a unit vector, i.e.,

$$\underline{\mathbf{q}}_{ij} := \mathbf{q}_{ij} + \varepsilon \widehat{\mathbf{q}}_{ij} = (\omega_{ij} + \varepsilon \widehat{\omega}_{ij})(\mathbf{p}_{ij} + \varepsilon \widehat{\mathbf{p}}_{ij}) = \underline{\omega}_{ij} \underline{\mathbf{p}}_{ij} \quad \text{with } \underline{\mathbf{p}}_{ij} \cdot \underline{\mathbf{p}}_{ij} = 1. \quad (3)$$

It turns out that  ${}_X\mathbf{v}_{ij}$  coincides with the velocity vector of  $X$  under a helical motion (= *instantaneous screw motion*) about the *instantaneous axis*  $p_{ij}$  with dual unit vector  $\underline{\mathbf{p}}_{ij}$ . The dual factor  $\underline{\omega}_{ij}$  is a compound of the angular velocity  $\omega_{ij}$  and the translatory velocity  $\widehat{\omega}_{ij}$  of this helical motion.  $\underline{\mathbf{q}}_{ij}$  is called the *instantaneous screw*.

For each instantaneous motion (screw  $\underline{\mathbf{q}}_{ij}$ ) the *path normals*  $n$  constitute a *linear line complex*, the (= *complex of normals*) as the dual unit vector  $\underline{\mathbf{n}} = \mathbf{n} + \varepsilon \widehat{\mathbf{n}}$  of any normal  $n$  obeys the equation

$$\widehat{\mathbf{q}}_{ij} \cdot \mathbf{n} + \mathbf{q}_{ij} \cdot \widehat{\mathbf{n}} = 0 \quad (\iff \underline{\mathbf{q}}_{ij} \cdot \underline{\mathbf{n}} \in \mathbb{R}). \quad (4)$$

This results from  ${}_X\mathbf{v}_{ij} \cdot \mathbf{n} = 0$  and  $\widehat{\mathbf{n}} = \mathbf{x} \times \mathbf{n}$ . By Theorem 1.3 it is equivalent to

$$(\omega_{ij} + \varepsilon \widehat{\omega}_{ij}) \underline{\cos} \underline{\alpha} \in \mathbb{R} \quad \text{or} \quad \widehat{\omega}_{ij}/\omega_{ij} = \widehat{\alpha} \tan \alpha \quad (5)$$

with  $\underline{\alpha}$  as dual angle between  $p_{ij}$  and any orientation of  $n$ .

The following is a standard result of spatial kinematics (see e.g. [1] or [4]):

#### Theorem 1.4 (Spatial Three-Pole-Theorem):

If for three given systems  $\Sigma_0, \Sigma_1, \Sigma_2$  the dual vectors  $\underline{\mathbf{q}}_{10}, \underline{\mathbf{q}}_{20}$  are the instantaneous screws of  $\Sigma_1/\Sigma_0, \Sigma_2/\Sigma_0$ , resp., then

$$\underline{\mathbf{q}}_{21} = \underline{\mathbf{q}}_{20} - \underline{\mathbf{q}}_{10}$$

is the instantaneous screw of the relative motion  $\Sigma_2/\Sigma_1$ .

Let the line  $n$  (dual unit vector  $\underline{\mathbf{n}}$ ) orthogonally intersect both axes  $\underline{\mathbf{p}}_{10}$  of  $\Sigma_1/\Sigma_0$  and  $\underline{\mathbf{p}}_{20}$  of  $\Sigma_2/\Sigma_0$ . Then  $n$  does the same with the axis  $\underline{\mathbf{p}}_{21}$  of  $\Sigma_2/\Sigma_1$ , provided  $\omega_{21} \neq 0$ . This follows from

$$\underline{\mathbf{n}} \cdot \underline{\mathbf{p}}_{10} = \underline{\mathbf{n}} \cdot \underline{\mathbf{p}}_{20} = 0 \implies \underline{\omega}_{21}(\underline{\mathbf{n}} \cdot \underline{\mathbf{p}}_{21}) = \underline{\omega}_{20}(\underline{\mathbf{n}} \cdot \underline{\mathbf{p}}_{20}) - \underline{\omega}_{10}(\underline{\mathbf{n}} \cdot \underline{\mathbf{p}}_{10}) = 0,$$

and there exists an inverse  $\underline{\omega}_{21}^{-1}$ .

### 1.2.3 Fundamentals of spatial gearing

Let the systems  $\Sigma_1, \Sigma_2$  rotate against  $\Sigma_0$  about the fixed axes  $p_{10}, p_{20}$  with constant angular velocities  $\omega_{10}, \omega_{20}$ , respectively. Then the instantaneous screw of the relative motion  $\Sigma_2/\Sigma_1$  is constant in  $\Sigma_0$ , too. It reads

$$\underline{\mathbf{q}}_{21} = \omega_{20} \underline{\mathbf{p}}_{20} - \omega_{10} \underline{\mathbf{p}}_{10} \quad \text{for } \omega_{10}, \omega_{20} \in \mathbb{R}. \quad (6)$$

When two surfaces  $\Phi_1 \subset \Sigma_1$  and  $\Phi_2 \subset \Sigma_2$  are *conjugate* tooth flanks for a uniform transmission, then  $\Phi_1$  contacts  $\Phi_2$  permanently under the relative motion  $\Sigma_2/\Sigma_1$ . In analogy to the planar case (Theorem 1.1) we obtain

**Theorem 1.5 (Fundamental law of spatial gearing):**

*The tooth flanks  $\Phi_1 \in \Sigma_1$  and  $\Phi_2 \in \Sigma_2$  are conjugate if and only if at each point  $E$  of contact (= meshing point) the contact normal (= meshing normal)  $e$  is included in the complex of normals of the relative motion  $\Sigma_2/\Sigma_1$ .*

Due to (4) the dual unit vector  $\underline{e}$  of any meshing normal  $e$  obeys the equation of the linear line complex

$$\underline{q}_{21} \cdot \underline{e} = \omega_{20} (\underline{p}_{20} \cdot \underline{e}) - \omega_{10} (\underline{p}_{10} \cdot \underline{e}) \in \mathbb{R}.$$

Hence Theorem 1.3 implies for the dual angles  $\underline{\alpha}_1, \underline{\alpha}_2$  between  $e$  and  $p_{10}$  and  $p_{20}$ , resp., (see Fig. 3, compare [2], Fig. 2.02, p. 46)

$$\omega_{20} \hat{\alpha}_2 \sin \alpha_2 - \omega_{10} \hat{\alpha}_1 \sin \alpha_1 = 0 \implies i = \frac{\omega_{20}}{\omega_{10}} = \frac{\hat{\alpha}_1 \sin \alpha_1}{\hat{\alpha}_2 \sin \alpha_2}. \quad (7)$$

## 2 J. Phillips' spatial involute gearing

In [2] Jack Phillips characterizes the spatial involute gearing in analogy to the planar case as follows: This is a gearing with point contact where *all meshing normals  $e$  are coincident in  $\Sigma_0$  — and skew to  $p_{10}$  and  $p_{20}$* . We exclude also perpendicularity between  $e$  and one of the axes. According to (7) this meshing normal  $e$  determines already a constant transmission ratio.

In the next section we determine possible tooth flanks  $\Phi_1, \Phi_2$  for such an involute gearing. At any point  $E$  of contact the common tangent plane  $\varepsilon$  of  $\Phi_1, \Phi_2$  is *orthogonal to  $e$* .

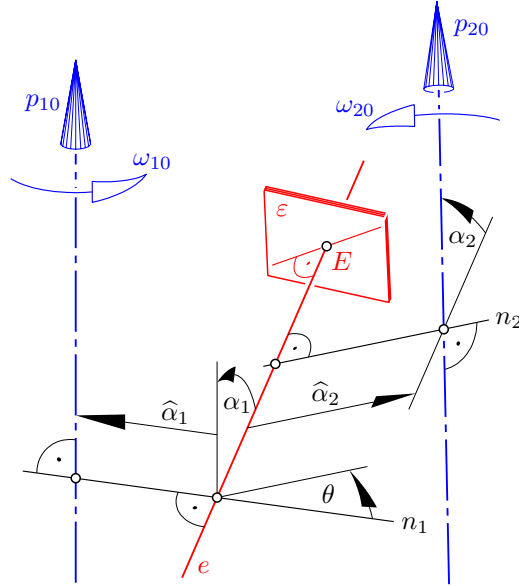


Figure 4: Spatial involute gearing with the meshing point  $E$  tracing the fixed meshing normal  $e$

### 2.1 Slip tracks

First we focus on the paths of the meshing point  $E$  relative to the wheels  $\Sigma_1, \Sigma_2$ . These paths are called *slip tracks*  $c_1, c_2$ :

### 2.1.1 Slip tracks as orthogonal trajectories

$\Sigma_1/\Sigma_0$  is a rotation about  $p_{10}$ , and we have  $E \in e$  where  $e$  is fixed in  $\Sigma_0$ . Therefore this slip track  $c_1$  is located on the *one-sheet hyperboloid*  $\Pi_1$  of revolution through  $e$  with axis  $p_{10}$ .

The point of contact  $E$  is located on  $\Phi_1$ ; therefore the line tangent to the slip track  $c_1$  is orthogonal to  $e$ . This leads to our first result:

**Lemma 2.1** *The path  $c_1$  of  $E$  relative to  $\Sigma_1$  is an orthogonal trajectory of the  $e$ -regulus on the one-sheet hyperboloid  $\Pi_1$  through  $e$  with axis  $p_{10}$ .*

Let this hyperboloid  $\Pi_1 \subset \Sigma_1$  with point  $E$  rotate about  $p_{10}$  with the constant angular velocity  $\omega_{10}$ , while simultaneously  $E$  runs relative to  $\Sigma_1$  along  $c_1$  (velocity vector  ${}^E\mathbf{v}_1$ ) such that  $E$  traces in  $\Sigma_0$  the fixed meshing normal  $e$  (velocity vector  ${}^E\mathbf{v}_0$ ). For the sake of brevity we call this movement the “absolute motion” of  $E$  via  $\Sigma_1$ . The velocity vector of  $E$  under this absolute motion is

$${}^E\mathbf{v}_0 = {}^E\mathbf{v}_1 + {}^E\mathbf{v}_{10} \tag{8}$$

with  ${}^E\mathbf{v}_{10}$  stemming from the rotation  $\Sigma_1/\Sigma_0$  about  $p_{10}$ .

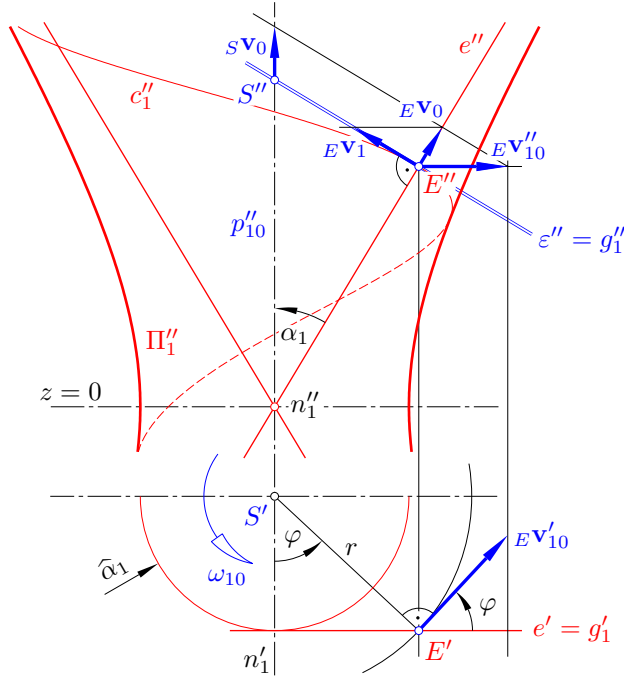


Figure 5: The velocities of the meshing point  $E$  relative to  $\Sigma_1$  and  $\Sigma_0$

We check the front view in Fig. 5 with  $p_{10}$  and  $e$  being parallel to the image plane. Hence the tangent plane  $\varepsilon \perp e$  is displayed as a line.

Let  $r$  denote the instantaneous distance of  $E$  from  $p_{10}$ . Then we have  $\|_E \mathbf{v}_{10}\| = r\omega_{10}$ . In the front view of Fig. 5 we see the length

$$\|_E \mathbf{v}_{10}''\| = |r\omega_{10} \cos \varphi| = |\widehat{\alpha}_1 \omega_{10}| = \text{const.}$$

with  $\widehat{\alpha}_1$  as the shortest distance between  $e$  and  $p_{10}$  — as used in (7) and Fig. 4. This implies a constant velocity of  $E$  also against  $\Sigma_0$  along  $e$ , namely

$$\|_E \mathbf{v}_0\| = |\widehat{\alpha}_1 \omega_{10} \sin \alpha_1| = \text{const.} \quad \text{with} \quad \alpha_1 = \sphericalangle e p_{10}. \quad (9)$$

When  $E$  moves with constant velocity along  $e$ , then the point  $S$  of intersection between the plane  $\varepsilon$  of contact ( $\varepsilon \perp e$ ) and  $p_{10}$  moves with constant velocity, too. We get

$$\|_S \mathbf{v}_0\| = |\widehat{\alpha}_1 \omega_{10} \tan \alpha_1| = \text{const.} \quad (10)$$

This means, that  $\varepsilon$  performs relative to  $\Sigma_1$  a rotation about  $p_{10}$  with constant angular velocity  $-\omega_{10}$  and a translation along  $p_{10}$  with constant velocity  $\|_S \mathbf{v}_0\|$ . So, the envelope  $\Phi_1$  of  $\varepsilon$  in  $\Sigma_1$  is a *helical involute* (= developable helical surface), formed by the tangent lines  $g_1$  of a helix (see Fig. 7) with axis  $p_{10}$ , with radius  $\widehat{\alpha}_1$  and with pitch  $\widehat{\alpha}_1 \tan \alpha_1$ .<sup>1</sup>

**Lemma 2.2** *The slip track  $c_1$  is located on a helical involute  $\Phi_1$  with the pitch  $\widehat{\alpha}_1 \tan \alpha_1$ . At each point  $E \in c_1$  there is an orthogonal intersection between  $\Phi_1$  and the one-sheet hyperboloid  $\Pi_1$  mentioned in Lemma 2.1.*

We resolve equation (8) for the vector  $_E \mathbf{v}_1$ , which is tangent to the slip track  $c_1 \subset \Phi_1$ , and obtain

**Corollary 2.3** *The velocity vector  $_E \mathbf{v}_1$  of the slip track  $c_1$  at any point  $E$  is the image of the negative velocity vector  $-_E \mathbf{v}_{10}$  of the rotation  $\Sigma_1/\Sigma_0$  under orthogonal projection into the tangent plane  $\varepsilon$  of  $\Phi_1$ .*

### 2.1.2 The tooth flanks

The simplest tooth flank for point contact is the envelope  $\Phi_1$  of the plane  $\varepsilon$  of contact in  $\Sigma_1$ . Hence we can summarize:

#### Theorem 2.1 (Phillips' 1st Fundamental Theorem:)

*The helical involutes  $\Phi_1, \Phi_2$  are conjugate tooth flanks with point contact for a spatial gearing where all meshing normals coincide with a line  $e$  fixed in  $\Sigma_0$ .*

Fig. 5 shows one generator  $g_1$  of the helical involute  $\Phi_1$ . At  $E$  there is a triad of three mutually perpendicular lines, the generator  $g_1$  of  $\Phi_1$ , the generator  $e$  of the hyperboloid  $\Pi_1$ , and the line which is parallel to the common normal  $n_1$  of  $e$  and  $p_1$ .

The screw motion about the axis  $p_{10}$  which generates the helical involute  $\Phi_1$  has also a linear complex of normals. The  $e$ -regulus of the one-sheet hyperboloid  $\Pi_1$  is subset of this complex. Thus, with eq. (5) we can confirm the pitch of  $\Phi_1$  as stated in Lemma 2.2.

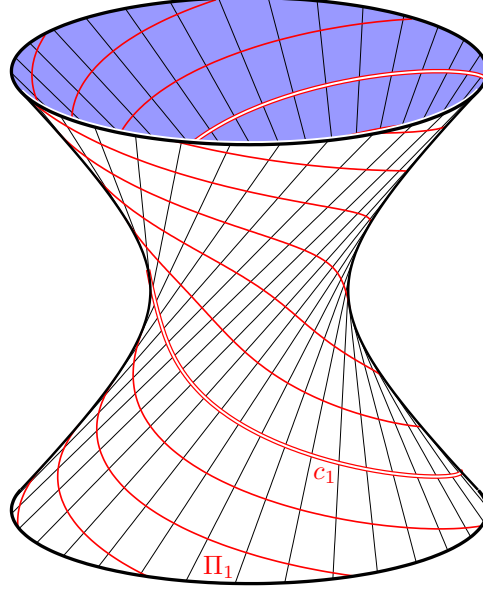


Figure 6: Slip tracks  $c_1$  as orthogonal trajectories on the one-sheet hyperboloid  $\Pi_1$

### 2.1.3 The continuum of slip tracks on $\Pi_1$ and on $\Phi_1$

In Figures 6 and 7 different slip tracks  $c_1$  are displayed, either on the one-sheet hyperboloid  $\Pi_1$  or on the helical involute  $\Phi_1$ .

Let  $p_{10}$  be the  $z$ -axis of a cartesian coordinate system with the plane  $z = 0$  containing the throat circle of  $\Pi_1$  (see Fig. 5). Then the slip track  $c_1$  which starts in the plane  $z = 0$  on the  $x$ -axis can be parametrized as

$$c_1(t): \begin{pmatrix} x(t) \\ y(t) \\ z(t) \end{pmatrix} = \hat{\alpha}_1 \begin{pmatrix} \cos t \\ \sin t \\ 0 \end{pmatrix} + \hat{\alpha}_1 t \sin \alpha_1 \begin{pmatrix} \sin \alpha_1 \sin t \\ -\sin \alpha_1 \cos t \\ \cos \alpha_1 \end{pmatrix}. \quad (11)$$

This follows from (9) or from the differential equation expressing the perpendicularity between  $c_1$  and the  $e$ -regulus. The different slip tracks on  $\Pi_1$  arise from each other by rotation about  $p_{10}$ .

The same curve can also be written as

$$c_1(t): \begin{pmatrix} x(t) \\ y(t) \\ z(t) \end{pmatrix} = \hat{\alpha}_1 \begin{pmatrix} \cos t \\ \sin t \\ t \tan \alpha_1 \end{pmatrix} - \hat{\alpha}_1 t \sin^2 \alpha_1 \begin{pmatrix} -\sin t \\ \cos t \\ \tan \alpha_1 \end{pmatrix}. \quad (12)$$

This shows  $c_1$  as a curve on the helical involute  $\Phi_1$  as

1. the first term on the right hand side parametrizes the edge of regression of  $\Phi_1$ ;
2. the second term has the direction of generators  $g_1 \subset \Phi_1$ .

<sup>1</sup>The signed distances  $\alpha_1, \hat{\alpha}_1$  specified in Figures 5 and 6 give  $\hat{\alpha}_1 \tan \alpha_1 < 0$ . In Fig. 7 the pitch of  $\Phi_1$  is positive.



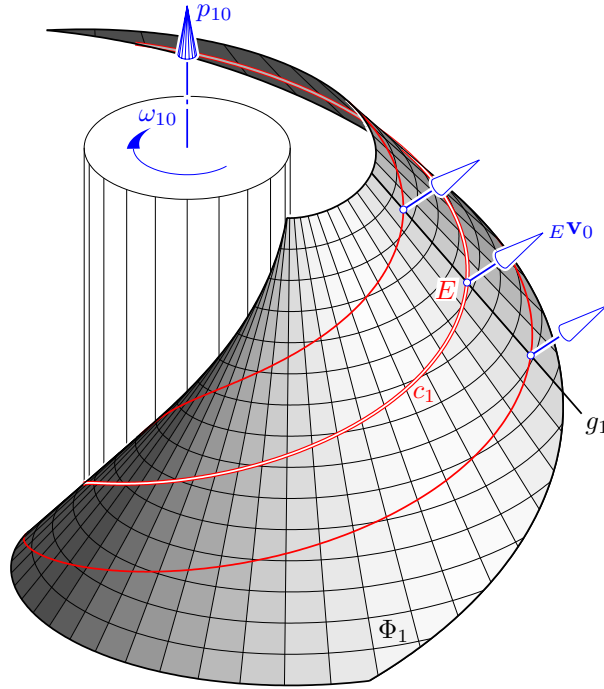


Figure 7: The tooth flank  $\Phi_1$  (helical involute) with the slip track  $c_1$  of point  $E \in g_1$

The lengths along  $g_1$  is proportional to the angle  $t$  of rotation measured from the starting point. Therefore any two different slip tracks on  $\Phi_1$  (see Fig. 7) enclose on each generator a segment of the same length.

The different slip tracks on  $\Phi_1$  arise from each other by helical motions about  $p_{10}$  with pitch  $\hat{\alpha}_1 \tan \alpha_1$  according to Lemma 2.2. The slip tracks  $c_1$  on  $\Phi_1$  are characterized by the following property: If a rotation of  $\Phi_1$  about its axis  $p_1$  is combined with a movement of point  $E$  on  $\Phi_1$  such that this point  $E$  traces relative to  $\Sigma_0$  a surface normal  $e$  of  $\Phi_1$ , then the path  $c_1 \subset \Phi_1$  of  $E$  on  $\Phi_1$  must be a slip track.

In the sense of Corollary 2.3 the slip tracks are the *integral curves* of the vector field of  $\Phi_1$  which consists of the tangent components of the velocity vectors under the rotation  $\Sigma_1/\Sigma_0$ .

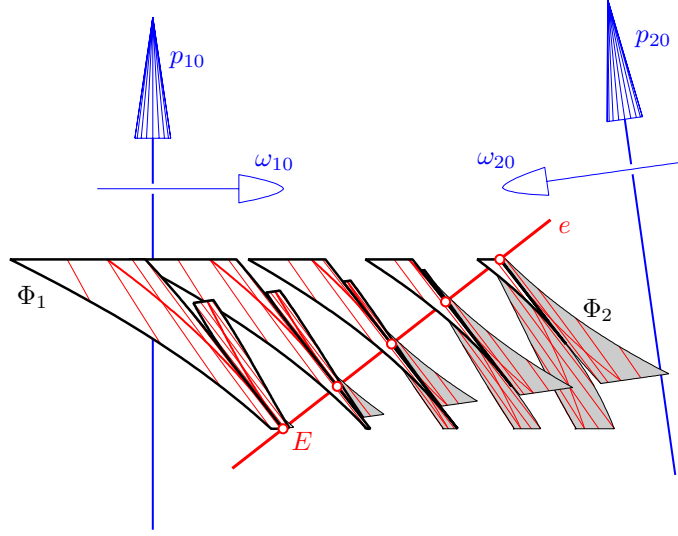
## 2.2 Two helical involutes in contact

### Theorem 2.2 (Phillips' 2nd Fundamental Theorem:)

*If two given helical involutes  $\Phi_1, \Phi_2$  are placed in mutual contact at point  $E$  and if their axes are kept fixed in this position, then  $\Phi_1$  and  $\Phi_2$  serve as tooth flanks for uniform transmission whether the axes are parallel, intersecting or skew.*

*According to (7) the transmission ratio  $i$  depends only on  $\Phi_1$  and  $\Phi_2$  and not on their relative position. Therefore this spatial gearing remains independent of errors upon assembly.*

*Proof:* When  $\Phi_1$  rotates with constant angular velocity about the axis  $p_{10}$  and point  $E$


 Figure 8: Different postures of  $\Phi_1$  and  $\Phi_2$  with line contact

runs relative to  $\Phi_1$  along the slip track with  ${}_E\mathbf{v}_1$  according to (8), then  $E$  traces in  $\Sigma_0$  a line  $e$  which remains normal to  $\Phi_1$ . By (9) the velocity of  $E$  under this absolute motion is  $\|{}_E\mathbf{v}_0\| = |\hat{\alpha}_1\omega_{10} \sin \alpha_1|$ .

Due to (7)  $E$  gets the same velocity vector along  $e$  under the analogous absolute motion via  $\Sigma_2$ . Hence the contact between  $\Phi_1$  and  $\Phi_2$  at  $E$  is preserved under the simultaneous rotations with transmission ratio  $i$  from (7).  $\square$

This means that the advantages (ii)–(iv) of planar involute gearing as listed above are still true for spatial involute gearing.

The velocity vector  ${}_E\mathbf{v}_0$  of the absolute motions via  $\Sigma_1$  or  $\Sigma_2$  does not change when  $E$  varies on the generators  $g_1 \subset \Phi_1$  (see Fig. 7)<sup>2</sup> or on  $g_2 \subset \Phi_2$ . Hence both generators perform a translation in direction of  $e$  under the absolute motions of their points via  $\Sigma_1$  or  $\Sigma_2$ .

It has already been pointed out that  $g_i \subset \varepsilon$ ,  $i = 1, 2$ , is perpendicular to the common normal  $n_i$  between  $p_{i0}$  and  $e$  (note Fig. 5). Hence the angle between  $g_1$  and  $g_2$  is congruent to the angle  $\theta$  between  $n_1$  and  $n_2$  (see Fig. 4). This proves

**Theorem 2.3** *Under the uniform transmission induced by two contacting helical involutes  $\Phi_1, \Phi_2$  according to Theorem 2.2 the angle  $\theta$  between the generators  $g_1 \subset \Phi_1$  and  $g_2 \subset \Phi_2$  at the point  $E$  of contact remains constant (see Fig. 10).*

*This angle is congruent to the angle made by the common normals  $n_1, n_2$  between  $e$  and the axes  $p_{10}, p_{20}$ .*

<sup>2</sup>Note that all normal lines of the helical involute  $\Phi_1$  make the same dual angle  $\alpha_1 + \varepsilon\hat{\alpha}_1$  with the axis  $p_{10}$ .

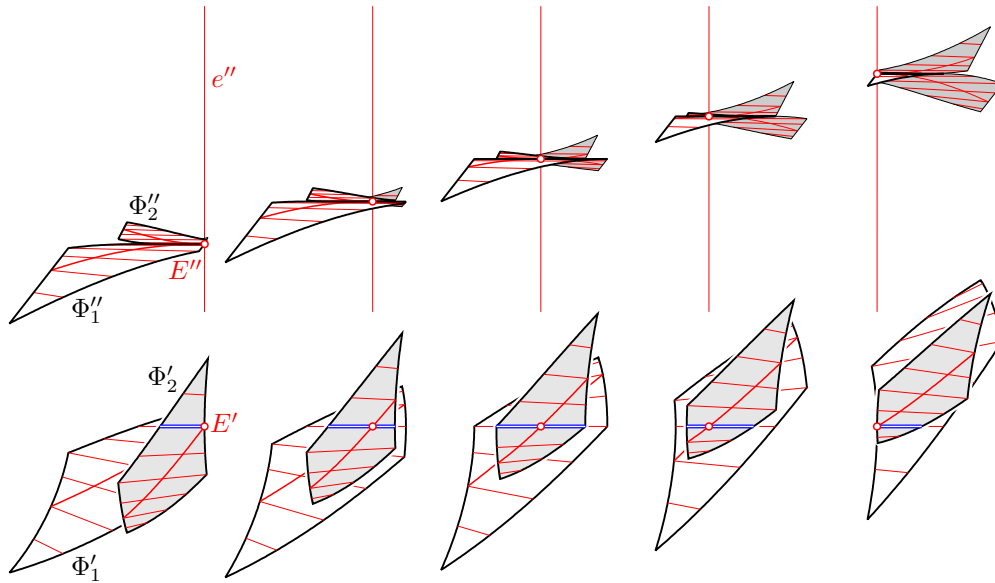


Figure 9: Different postures of  $\Phi_1$  and  $\Phi_2$  with *line contact* (double line) seen in direction of the contact normal  $e$  (top view — below) and in the front view (parallel  $e$  — above).

**Corollary 2.4** *If two helical involutes  $\Phi_1, \Phi_2$  are placed such that they are in contact along a common generator and if their axes are kept fixed, then  $\Phi_1$  and  $\Phi_2$  serve as gear flanks for a spatial gearing with permanent straight line contact. All contact normals are located in a fixed plane parallel to the two axes  $p_{10}, p_{20}$ .*<sup>3</sup>

In Figs. 8–10 this gearing is illustrated: Fig. 8 shows a front view for an image plane parallel to  $p_{10}, p_{20}$  and  $e$ . Under the rotation about  $p_{10}$  the tooth flank  $\Phi_1$  is in contact along a straight line with the conjugate  $\Phi_2$  rotating about  $p_{20}$ . The flanks are bounded by two slip tracks and by two involutes, which are the intersections with planes perpendicular to the axes  $p_{10}$  or  $p_{20}$ , respectively. Five different positions of the flanks in mutual contact are picked out.

These five positions are also displayed one by one in Fig. 9 ( $\theta = 0$ ) and in Fig. 10 ( $\theta \neq 0$ ): The contact normal  $e$  of  $E$  is now in vertical position; the top view shows the orthogonal projection of  $\Phi_1$  and  $\Phi_2$  into the common tangent plane  $\varepsilon$ . Beside some generators of the tooth flanks also the slip tracks of a central point  $E$  are displayed.

The double line in the top view of Fig. 9 indicates the line of contact. Fig. 10 shows a case with point contact at  $E$  and the constant angle  $\theta \neq 0$ .

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<sup>3</sup>This includes as a special case the line contact between helical spur gear as displayed in Fig. 1a.

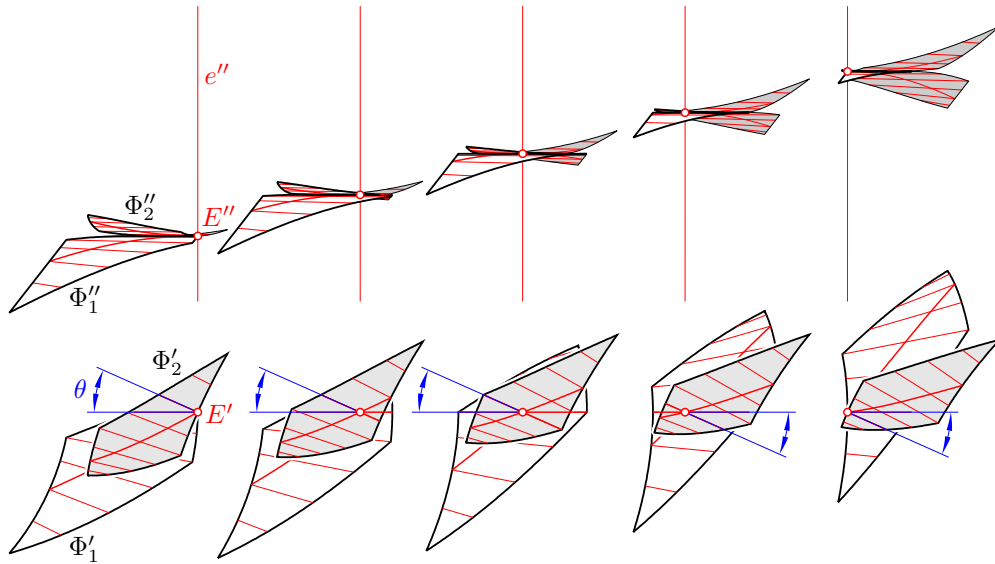


Figure 10: Different postures of  $\Phi_1$  and  $\Phi_2$  with *point contact* at  $E$ . The angle  $\theta$  between the generators at  $E$  remains constant (Theorem 2.3).

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